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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

02581-P0423A

First Inventor

Horst Dittich, et al.

Title Trocar Sleeve With A Variable Sealing Opening

Express Mail Label No.

EL 889 834 892 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 22]  
(performed arrangement as forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims(s)
  - Abstract of the Disclosure
4. ☒ Drawings(s) (35 USC 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 0]
- a. ☐ New executed (original or copy)
- b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- c. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 of its equivalent.
17. ☒ Other: PCT/EP01/01351 Cover Sheet

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☒ Continuation ☐ Divisional ☐ Continuation-in-part

of prior application No.: PCT/EP01/01351 February 8, 2001

Prior application information: Examiner Group/Art Unit:

Priority Claimed: DE 10009132.6 February 26, 2000

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label

24126

☒ Correspondence address below

(Print Customer No. or Attach Bar Code Label Here)

Name Wesley W. Whitmyer, Jr.

Address St. Onge Steward Johnston & Reens LLC  
986 Bedford Street

City Stamford State CT Zip Code 06905-5619

Country United States Telephone 203 324-6155 Fax 203 327-1096

Name (Print/Type) Wesley W. Whitmyer, Jr. Registration No. (Attorney/Agent) 33,558

Signature [Signature] Date 10/26/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Times will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OF COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/05 (11-00)

10/26/01

# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision*

Complete if Known

Application No.	09/
Filing Date	October 26, 2001
First Named Inventor	Horst Dittich, et al.
Examiner Name	
Group Art Unit	
Attorney Docket Number	02581-P0423A WWW

TOTAL AMOUNT OF PAYMENT

(\$ 740.00

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **19-4516**  
Deposit Account Name: **St Onge Steward Johnston & Reins LLC**

☒ Charge Any Additional Fee Required Under CFR 1.16 and 1.17. Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed.

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee Code	Small Fee Code	Entity Fee Code	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$ 740.00)</b>

### 2. EXTRA CLAIMS FEES

Large Fee Code	Entity Fee Code	Small Fee Code	Entity Fee Code	Fee Description	Fee Paid																		
<table border="0" style="width: 100%;"> <tr> <td>Total Claims</td> <td>12</td> <td>-20**</td> <td>0</td> <td>X</td> <td>=</td> </tr> <tr> <td>Independent Claims</td> <td>1</td> <td>-3**</td> <td>0</td> <td>X</td> <td>=</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td>0</td> <td>X</td> <td>=</td> </tr> </table>					Total Claims	12	-20**	0	X	=	Independent Claims	1	-3**	0	X	=	Multiple Dependent			0	X	=	
Total Claims	12	-20**	0	X	=																		
Independent Claims	1	-3**	0	X	=																		
Multiple Dependent			0	X	=																		
103	18	203	9	Claims in excess of 20																			
102	84	202	42	Independent claims in excess of 3																			
104	280	204	140	Multiple dependent claims, if not paid																			
109	80	209	40	** Reissue independent claims over original patent																			
110	18	210	9	** Reissue claims in excess of 20 and over original patent																			

\*\* or number previously paid, if greater. For Reissues, see above

**SUBTOTAL (2)** (\$ -0-

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

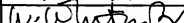
Large Fee Code	Entity Fee Code	Small Fee Code	Entity Fee Code	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing for or oath	
127	50	227	25	Surcharge - late provisional filing or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840	Requesting publication of SIR after Examiner Action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
125	240	126	240	Submission of Informational Disclosure Stmt	
581	40	581	40	Recording each patent assignment per Property (times number of occurrences)	
146	740	246	370	Filing a submission after final rejection (37CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

**SUBTOTAL (3)** (\$ -0-

SUBMITTED BY: **St Onge Steward Johnston & Reins LLC**

Complete (if applicable)

Name (Print Type)	<b>Wesley W. Whitmyer, Jr.</b>	Registration No. (Attorney/Agent)	<b>33,558</b>	Telephone	<b>203 324-6155</b>
Signature		Date	<b>10/26/2001</b>		

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